



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Anthony F. MENNINGER

Title:

SYSTEM, METHOD AND COMPUTER PROGRAM PRODUCT FOR A SECURE SUPPLY CHAIN MANAGEMENT

**FRAMEWORK** 

Appl. No.:

09/815,559

Filing Date: 03/23/2001

Examiner:

Unassigned

Art Unit:

2131

**INFORMATION DISCLOSURE STATEMENT** UNDER 37 CFR §1.56

RECEIVED

MAY 2 3 2003

Commissioner for Patents PO Box 1450 Alexandria, Virginia 22313-1450

**Technology Center 2100** 

Sir:

Submitted herewith on Form PTO/SB/08 is a listing of documents known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR §1.56. A copy of each listed document is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a prima facie art reference against the claims of the present application.

## TIMING OF THE DISCLOSURE

The listed documents are being submitted in compliance with 37 CFR §1.97(b), before the mailing date of the first Office Action on the merits.

## RELEVANCE OF EACH DOCUMENT

An English translation of the foreign-language document is not readily available. However, the absence of such translation does not relieve the PTO from its duty to consider the submitted foreign language document (37 CFR §1.98 and MPEP §608). An English Abstract is attached for document A22.

Applicants respectfully request that any listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 CFR §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Respectfully submitted,

Date May 22, 2003

**FOLEY & LARDNER** 

Customer Number: 22428

PATENT TRADEMARK OFFICE

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MODIFIED PTO/SB/08 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

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pamper E Substitute for form 1449B/PTO Complete if Known 1 100 INFORMATION DISCLOSURE Application Number 09/815,559 STATEMENT BY APPLICANT **Filing Date** 03/23/2001 **First Named Inventor** Anthony F. MENNINGER Pate Submitted: May 22, 2003 Group Art Unit 2131 use as many sheets as necessary) **Examiner Name** Unassigned Sheet of Attorney Docket Number 062834-0194

				U.S. PATENT DOCUMENTS	3	
<b>-</b> i	Cita	U.S. Patent Document		N	Date of Publication	on of Pages, Columns, Lines, Where Relevant
Examiner Initials*	Cite No.1	Number	Kind Code <sup>2</sup> (if known)	Name of Patentee or Applicant of Cited Document	Cited Docume MM-DD-YYYY	ent Passages or Relevant
	A1	4,713,761		Sharpe et al.	12-15-1987	
	A2	4,799,156		Shavit et al.	01-17-1989	DECENA
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Examiner Initials*	Cite No.1	Offic	Foreign Patent Do e <sup>3</sup> Number <sup>4</sup>	ocument Kind Code <sup>5</sup> (if known)	Name of Patentee or Applicant of Cited Documents	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>	
	A22	JP	2002236730 A		SUGIOKA, MIKIO	08-23-2002			

NON PATENT LITERATURE DOCUMENTS								
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	Т					
	A23	Information on Deal Time International, 1998-2002						

Examiner	Date	
Signature	Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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	Substitute for f	orm 1449B/	PTO		Complete if Known		
	INFORMATION	N DISCLO	SURE	Application Number	09/815,559		
	STATEMENT I	BY APPLI	CANT	Filing Date	03/23/2001		
	Data Submitta	d. May 22	2002	First Named Inventor	Anthony F. MENNINGER		
	Date Submitted	u. May 22,	2003	Group Art Unit	2131		
	(use as many she	eets as ne	cessary)	Examiner Name	Unassigned		
Sheet	2	of	2	Attorney Docket Number	062834-0194		

II .		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>6</sup>
	A24	Information on Circuit City Stores, Inc., 1996-2002	
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